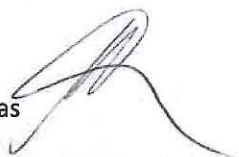


Interoffice Memorandum

To: Susan McDade  
Deputy Director  
Regional Bureau for Latin America and the Caribbean

Date: 9<sup>th</sup> November, 2016

From: Rebeca Arias  
Director  
Regional Hub – RBLAC



Subject: Request of an extension of DIM authorization for the Regional Project: "Multidimensional Progress Agenda for Human Development in Latin America and the Caribbean"

I am pleased to request your consideration and approval of an extension of the Direct Implementation (DIM) authorization for the Regional Project "Multidimensional Progress Agenda for Human Development in Latin America and the Caribbean" - Atlas AWARD 00084774, Project IDs: 00092631 – from September 2015 until December 2016. This extension is requested to continue with the implementation of activities while a substantive revision, which will expand the scope and results of the project, is finalized.

**Brief summary of the project objectives**

Continuing with the Bureau strategy to support the implementation of the 2030 Agenda in the region, after the launch of the HDR report, the project will be preparing the inputs and actions necessary to implement the multidimensional progress toolkit. This toolkit aims to provide country-specific landing of SDGs based on government priorities, through the development of sets or "combos" of specific policies that link processes of social, economic and environmental change. The toolkit will implement in a sequential manner the following three instruments which are being finalized:

- Country Specific Metrics & Drivers. Construction of multidimensional metric for each country, analyzing the determinants for exiting or sliding back into poverty and the vulnerability condition of people and groups.
- Demand-driven interlinkage. Includes the identification of specific public policy options to address inter-sectoral, inter-territorial and life-cycle challenges.
- Fiscal space for SDGs. Fiscal simulation tool to analyze the effect of possible changes in taxes, transfers and subsidies; as well as microsimulation for closing labor, social and gender of gaps.

In addition, the project will build a roster of regional consultants including experts, UNDP Programme Officers, and Government Officials of the region which will be trained in the use of the toolkit to provide assistance to countries in their application for the advancement of the SDGs.

Finally, the project will continue holding report presentations in field offices as well as workshops with national counterparts for the implementation of the tools at the national level.

**Justification of DIM extension**

The extension of the DIM implementation is advisable for the following reasons:

1. The project, which has a wide regional scope offering support to the Region, will require an executing agency with the capacity to coordinate different components (capacity building, technical assistance and knowledge management products and services) and to assist a wide array of UNDP COs and government's counterpart. In addition, the executing agency must have the capacity to mobilize resources and convene a wide variety of national and international stakeholders to support project activities.
2. The project seeks to add value and to be effective in the provision of technical assistance in SDGs in the region. It requires building and sustaining regional technical expertise and capacities that given its economies of scale are better articulated and more efficiently managed following a regional approach.
3. The Direct Implementation of the project will facilitate direct service delivery so that it can meet emerging clients' demands for technical assistance and support in a wider range of circumstances. Combined with country office capacities, DIM implementation will enable the project to seek and secure new business opportunities, providing an effective mechanism to meet national demands and donor needs for quick response and implementation.
4. The Regional Center for Latin America and the Caribbean has the required experience to coordinate the regional project as demonstrated through its management of other regional projects.

Consequently, we would appreciate an extension of the authorization of the DIM modality to continue with project activities until the substantive revision is finalized and approved.

*Attached documentations:*

- Regular Budget Revision (ATLAS AWP and ATLAS cover page)
- Project Annual Work Plan (AWP) until 31 December 2016
- Budget Revision Clearance Slip
- ASL clearance Slip
- Progress Report until September 2016.

7/11/2016



## UN Development Programme RBLAC/HQ/CO Support

**Project:** 00084774  
**Project Title:** A Multidimensional Progress Agenda for Hum Dev in LAC  
**Start Year:** 2015  
**End Year:** 2016

**Implementing Partner:** UNDP

**Responsible Parties:** UNDP

**Revision Type:** General Revision 4

**Project Description:**

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Budget (US\$) as of Last Revision on 07-November-2016		
Donor	Fund	Amount
UNDP	04230 Regional Activities - RBLAC	501,634.89
MOFACSPAI	52600 TR RBLAAC INTEGRATED	774,771.81
<b>Total Budget (201 and Beyond )</b>		1,276,406.70
<b>Total Utilization ( 2015 and Prior )</b>		1,183,847.83
<b>Project Total</b>		2,460,254.53
<b>Unprogrammed/Unfunded</b>		0.00



7/11/2016



## UN Development Programme RBLAC/HQ/CO Support

Project 92631 GR 4 Award 84774

This budget revision is intended to budget USD 201,189.00 of TRAC Funds which appear in the project as negative expenses as well as to allocate USD 125,856.89 extra of TRAC Funds in order to continue with the implementation of the project for the last quarter of 2016. A balance of USD 576.39 of Spanish Trust Funds is also budgeted for the year 2016.

This budget revision aim to extend the project until December 2016 and increasing the total 2016 budget to USD 1,276,406.70.

The adjustments are detailed below:

Under Activity 1:

Fund 10870, donor 52600

- Allocate USD 533.69 to account 71600
- Increase in USD 42.69 account 75100

Fund 04230, donor 00012

- Increase in USD 11,745 account 72100

Under Activity 2:

Fund 04230, donor 00012

- Allocate USD 3,695.00 to account 71200

Under Activity 3:

Fund 04230, donor 00012

- Increase in USD 45,781.00 account 75700
- Allocate USD 29,764.00 into account 71300
- Increase in USD 27,169.00 account 71200
- Allocate USD 2,594.00 into account 71600

Under Activity 4:

Fund 04230, donor 00012

- Increase in USD 5,110.00 account 71200
- Allocate USD 48,044.16 into account 71600
- Increase in USD 8,246.00 account 72100
- Allocate USD 12,695.23 into account 74500
- Increase in USD 29,479.00 account 75700
- Allocate USD 102,723.50 into account 74200

Attached is new budget with changes made by this revision.

Agreed by: Susan McDade, Deputy Regional Director RBLAC

Agreed by: Rebeca Arias, Regional Hub Director RBLAC

Agreed by:

Agreed by:

*ONE* 15/11/16  
*[Signature]* 9/11/16



AWARD 00084774, Project ID: 00092631. DIM authorization from 1 October 2016 until 31 December 2016.

Cleared and Approved by: *Susan S. McDade*  
Susan McDade  
Deputy Director  
Regional Bureau for Latin America and the Caribbean

Date: 15-Nov-2016



**REGIONAL PROGRAMME  
CLEARANCE SLIP - AUTHORIZATION TO TRANSFER REGIONAL  
TRAC RESOURCES**

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**Transfer Method: Allocation**  
**Ledger: ALT\_DP**  
**Atlas Budget Journal # = 6754610**

**Debit Accounts from RBLAC:**  
**Fund: 04230 – Regional TRAC Resources**  
**Dept. ID: B0812**  
**Atlas Project number and Title: 00059875 - RBLAC – Umbrella Regional Prog**  
**Donor: 00012 - UNDP**

**Credit Accounts to a Regional Project:**  
**Fund: 04230 – Regional TRAC Resources**  
**Dept. ID: B0511**  
**Atlas Project number and Title: Project#84774, Output#92631 – A Multidimensional progress agenda for Hum. Dev. in LAC.**  
**Donor: 00012 - UNDP**

**Amount : In US 125,856.89 (Fully programmable)**

To transfer Funds from the Umbrella Project (00059875) to Project#84774, Output#92631, This budget revision aim to extend the project until December 2016 and increasing the total 2016 budget to USD 1,276,406.70.

Allocation of new TRAC funds of \$125,856.89 for 2016.




**Authorized by:**

Name	Signature	Date	Comments
Mrs. Susan McDade Deputy Regional Director, HQ/RBLAC		03/11/16	
Mrs. Rebeca Arias Regional Hub Director		9/11/16	
Mrs. Karina Servellon Chief Finance/ Admin/ IT Management, RBLAC		29 Nov 2016	



**CLEARANCE SLIP**

**Budget Revisions and all documents that require financial review**

<b>1.</b>	<b>Project Number (if applicable):</b> 00092631 <b>Project Title:</b> "A Multidimensional Progress Agenda for Human Dev. in LAC" <b>Type of Budget Revision (initial, general, substantive, semi-final, final, etc):</b> General Revision 4 <b>Date (on original budget revision or document):</b> November 2nd, 2016			
<b>2.</b>	<b>Subject:</b> General Revision 4_ Award 84774			
<b>3.</b>	<b>Brief Description/Purpose of the budget revision (no more than two paragraphs):</b> <b>Project 92631_ GR 4 Award 84774.</b> This budget revision is intended to budget USD 201,189.00 of TRAC Funds which appear in the project as negative expenses as well as to allocate USD 125,856.89 extra of TRAC Funds in order to continue with the implementation of the project for the last quarter of 2016. A balance of USD 576.39 of Spanish Trust Funds is also budgeted for the year 2016. This budget revision is accompanied by a substantive revision extending the project until December 2016 and increasing the total 2016 budget to USD 1,276,406.70.			
<b>4.</b>	<b>Issues for director's attention (if necessary only):</b> The adjustments in budget 2016 respond to the necessity to continue implementing activities during the last quarter of 2016.			
<b>5.</b>	<b>Clearance</b>	<b>Name</b>	<b>Signature</b>	<b>Date of Request</b>
	Programme Manager / requestor	Alejandro Pacheco		9/11/2016
	Finance and Administrative Coordinator TF	Paloma Morazo		11/11/2016
	Finance Advisor	Karina Servellon		29 Nov 2016



## Susan McDade

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**From:** Rebeca Arias  
**Sent:** Thursday, November 10, 2016 16:28  
**To:** Susan McDade  
**Cc:** George Ronald Gray; Alejandro Pacheco; Claudia Bresanovich; Silvana Gramajo-Barboni; Karina Servellon; Maria Valeria CABRERA; Richard Barathe; Maribel LANDAU  
**Subject:** DIM Extension Dec 2016, HDR project  
**Attachments:** AWP 2016 (2).PDF; Memo 84774 2016.pdf; Caratula AWP Atlas 84774 2016.pdf; Clearance Slip 84774 2016.pdf; 20161109153004972.pdf; Copy of Tabla 2016 y 4T\_28\_10\_16.xlsx

Dear Susan

We would like to request your consideration and approval of an extension of the Direct Implementation (DIM) authorization for the Regional Project "Multidimensional Progress Agenda for Human Development in Latin America and the Caribbean" - Atlas AWARD 00084774, Project IDs: 00092631 – from September 2015 until December 2016. This extension is requested to continue with the implementation of activities while a substantive revision, which will expand the scope and results of the project, is finalized.

Kindly find attached the supporting documents for this DIM extension:

- Annual Work Plan (AWP) 2016
- Regular Budget Revision (ATLAS AWP and ATLAS cover page)
- Budget Revision Clearance Slip
- Progress Report 2015

With best regards,

Rebeca



*Empowered lives. Resilient nations.*

**Rebeca Arias**

Director  
Panama Regional Hub  
Regional Bureau for Latin America and the Caribbean  
United Nations Development Programme  
UN House Building 128, City of Knowledge, Clayton  
Panama City, Panama  
[rebeca.arias@undp.org](mailto:rebeca.arias@undp.org)  
Tel: +507 302-4552; Fax: +507 302-4551  
Skype name: rebecaarias1404  
[www.undp.org](http://www.undp.org)  
Twitter: @RAriasD





**Annual Work Plan**

RBLAC/HQ/CO Support

Project: 00084774

Report Date: 9/11/2016

Project Title: A Multidimensional Progress Agenda for Hum Dev in LAC

Year: 2016

Output	Key Activities	Timeframe		Responsible Party	Planned Budget					
		Start	End		Fund	Donor	Budget Descr	Amount US\$		
00092631 Multidimensional Progress	Communication Strategy im	1/1/2015	8/11/2016	UNDP	04230	UNDP	71200	International Consultants	5,110.00	
				UNDP	52600	MOFACSPAIN	71200	International Consultants	8,240.00	
				UNDP	52600	MOFACSPAIN	72100	Contractual Services-Companie	22,362.70	
				UNDP	52600	MOFACSPAIN	71600	Travel	20,000.00	
				UNDP	04230	UNDP	74200	Audio Visual&Print Prod Costs	102,723.50	
				UNDP	04230	UNDP	71600	Travel	48,044.16	
				UNDP	52600	MOFACSPAIN	75100	Facilities & Administration	8,848.22	
				UNDP	04230	UNDP	75700	Training, Workshops and Confer	29,479.00	
				UNDP	04230	UNDP	74500	Miscellaneous Expenses	12,695.23	
				UNDP	52600	MOFACSPAIN	74200	Audio Visual&Print Prod Costs	60,000.00	
				UNDP	04230	UNDP	72100	Contractual Services-Companie	8,246.00	
				UNDP	52600	MOFACSPAIN	75100	Facilities & Administration	4,496.88	
				UNDP	52600	MOFACSPAIN	71600	Travel	0.00	
				UNDP	04230	UNDP	71200	International Consultants	3,695.00	
				UNDP	52600	MOFACSPAIN	74200	Audio Visual&Print Prod Costs	0.00	
				UNDP	04230	UNDP	63300	Non-Recurent Payroll - IP Sif	26,178.50	
				UNDP	04230	UNDP	61300	Salary & Post Adj Cst-IP Staff	98,022.50	
				UNDP	52600	MOFACSPAIN	71200	International Consultants	56,211.00	
				UNDP	04230	UNDP	62300	Recurent Payroll Costs-IP Sif	29,003.50	
				UNDP	04230	UNDP	63500	Insurance and Security Costs	21,394.50	
		UNDP	52600	MOFACSPAIN	71300	Local Consultants	41,535.00			
		UNDP	52600	MOFACSPAIN	74500	Miscellaneous Expenses	4,535.00			
		UNDP	52600	MOFACSPAIN	74200	Audio Visual&Print Prod Costs	46,173.00			
		UNDP	04230	UNDP	72100	Contractual Services-Companie	11,745.00			
		UNDP	52600	MOFACSPAIN	72100	Contractual Services-Companie	104,369.71			
		UNDP	52600	MOFACSPAIN	75100	Facilities & Administration	37,376.19			
		UNDP	52600	MOFACSPAIN	75700	Training, Workshops and Confer	143,472.00			
		UNDP	52600	MOFACSPAIN	71200	International Consultants	60,000.00			



# Annual Work Plan

RBLAC/HQ/CO Support

**Project:** 00084774

**Report Date:** 9/11/2016

**Project Title:** A Multidimensional Progress Agenda for Hum Dev in LAC

**Year:** 2016

Output	Key Activities	Timeframe		Responsible Party	Planned Budget				
		Start	End		Fund	Donor	Budget Descr	Amount US\$	
	RHDR published	1/1/2015	1/12/2016	UNDP	52600	MOFACSPAIN	71600	Travel	67,117.69
	Toolkit appropriated by partn	1/1/2015	1/12/2016	UNDP	04230	UNDP	71300	Local Consultants	29,764.00
				UNDP	52600	MOFACSPAIN	74200	Audio Visual&Print Prod Costs	7,038.28
				UNDP	52600	MOFACSPAIN	71600	Travel	15,000.00
				UNDP	04230	UNDP	71600	Travel	2,594.00
				UNDP	52600	MOFACSPAIN	71200	International Consultants	45,000.00
				UNDP	04230	UNDP	75700	Training, Workshops and Confe	45,781.00
				UNDP	52600	MOFACSPAIN	75700	Training, Workshops and Confe	16,326.93
				UNDP	04230	UNDP	71200	International Consultants	27,169.00
				UNDP	52600	MOFACSPAIN	75100	Facilities & Administration	6,669.21
<b>TOTAL</b>					<b>1,276,406.70</b>				
<b>GRAND TOTAL</b>					<b>1,276,406.70</b>				



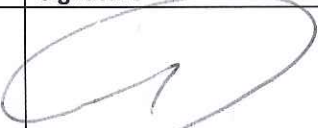






## CLEARANCE SLIP

## Budget Revisions and all documents that require financial review

1.	<b>Project Number (if applicable):</b> 00092631 <b>Project Title:</b> "A Multidimensional Progress Agenda for Human Dev. in LAC" <b>Type of Budget Revision (initial, general, substantive, semi-final, final, etc):</b> General Revision 4 <b>Date (on original budget revision or document):</b> November 2nd, 2016		
2.	<b>Subject:</b> General Revision 4_ Award 84774		
3.	<b>Brief Description/Purpose of the budget revision (no more than two paragraphs):</b> <b>Project 92631_ GR 2_ Award 84774.</b> This budget revision is intended to budget USD 201,189.00 of TRAC Funds which appear in the project as negative expenses as well as to allocate USD 125,856.89 extra of TRAC Funds in order to continue with the implementation of the project for the last quarter of 2016. A balance of USD 576.39 of Spanish Trust Funds is also budgeted for the year 2016. This budget revision is accompanied by a substantive revision extending the project until December 2016 and increasing the total 2016 budget to USD 1,276,406.70.		
4.	<b>Issues for director's attention (if necessary only):</b> The adjustments in budget 2016 respond to the necessity to continue implementing activities during the last quarter of 2016.		
5.	<b>Clearance</b>	<b>Name</b>	<b>Signature</b>
	<b>Programme Manager / requestor</b>	Alejandro Pacheco	
	<b>Finance and Administrative Coordinator TF</b>	Paloma Morazo	
	<b>Finance Advisor</b>	Karina Servellon	
			9/11/2016



AAA Report PROJECT 92631-Expenses to be reversed to Fund 04230

Fund	52600
Project Id	92631
Activity Id	ACTIVITY01
Operating Unit	H03
Department	81201
Implementing Agent	1981
Donor (Agency)	10870

Row Labels	Account Description	Sum of USD Amount
71605	TRAVEL TICKETS-INTERNATIONAL	\$39,595
71615	DAILY SUBSISTENCE ALLOW-INTL	\$16,989
74220	TRANSLATION COSTS	\$19,633
75705	LEARNING COSTS	\$15,643
75706	LEARNING - TICKET COSTS	\$64,390
75707	LEARNING SUBSISTENCE ALLOWAN	\$44,939
<b>Grand Total</b>		<b>\$201,189</b>

Cuentas

71600	\$ 56,584
74200	\$ 19,633
75700	\$ 124,972





Project Resource Overview

Project	Output	Fund	Donor	ASL	Current Yr Available Resources for Spending			Approved Budgets			Current Year Activity and Balances					Outstanding Contributions		
					Opening Cash Balance	Revenue Collected	Total	Current Year	Future Years	Total	Expenses + full asset cost	Outstanding NEX Advances	Project Advances	Budget Balance	Resource Balance	Past Due	Future Due	
				a	b	c	d=a+b+c	e	f	g=e+f	h	i	j	k	l=e-h-i	m=d-h-i-j-k		
00084774	00092631	04230	00012	174,589	0	0	174,589	174,589	0	174,589	12,496	16,877	0	0	145,217	145,217	0	0
		11015	10870	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		52600	10870	774,772	0	0	774,772	774,195	0	774,195	152,758	613,061	0	0	8,377	8,953	0	0
	Sub Total Output 00092631			949,361	0	0	949,361	948,784	0	948,784	165,253	629,938	0	0	153,593	154,170	0	0
	Total for Project 00084774			949,361	0	0	949,361	948,784	0	948,784	165,253	629,938	0	0	153,593	154,170	0	0
	TOTAL			949,361	0	0	949,361	948,784	0	948,784	165,253	629,938	0	0	153,593	154,170	0	0

Total for Project 84774 for 2016 = \$ 949,361.00



Project Resource Overview

Project	Output	Fund	Donor	Current Yr Available Resources for Spending				Approved Budgets			Current Year Activity and Balances						Outstanding Contributions		
				ASL	Opening Cash Balance	Revenue Collected	Total	Current Year	Future Years	Total	Commitments	Expenses + full asset cost	Outstanding NEX Advances	Project Advances	Budget Balance	Resource Balance	Past Due	Future Due	
				a	b	c	d=a+b+c	e	f	g=e+f	h	i	j	k	l=e-h-i	m=d-h-i-j-k			
00084774	00092631	04230	00012	449,000	0	0	449,000	430,000	174,589	604,589	0	631,186	0	0	-201,186	-182,186	0	0	
		52600	10870	807,019	0	0	807,019	807,019	774,195	1,581,215	0	552,652	0	0	254,357	254,357	0	0	
	Sub Total Output 00092631			1,256,019	0	0	1,256,019	1,237,019	948,784	2,185,804	0	1,183,848	0	0	53,171	72,171	0	0	
	Total for Project 00084774			1,256,019	0	0	1,256,019	1,237,019	948,784	2,185,804	0	1,183,848	0	0	53,171	72,171	0	0	
	TOTAL			1,256,019	0	0	1,256,019	1,237,019	948,784	2,185,804	0	1,183,848	0	0	53,171	72,171	0	0	

Total expenditures for Project 84774 for 2015 = \$1,183,848.00